



Shipping Lithium Ion Batteries for Cordless Power Tools and Electric Garden Equipment: Implementation of Dangerous Goods Transport Regulations

An Initiative of EPTA and ZVEI



Shipping Lithium Ion Batteries for Cordless Power Tools and Electric Garden Equipment: Implementation of Dangerous Goods Transport Regulations

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THE EUROPEAN POWER TOOL ASSOCIATION

EPTA – European Power Tool Association Postal address: P.O. Box 710844 60498 Frankfurt am Main - DE Contact: Cornelius Eich E-Mail: eich@epta.eu In collaboration with: ZVEI - German Electrical and Electronic Manufacturers' Association Electric Power Tool Division Although all possible care has been taken, EPTA cannot accept any liability for the content. Pictures: Copyright by EPTA September 2019 Transport of lithium ion batteries is in the scope of Dangerous Goods Transport Regulations. Therefore many specific requirements have to be respected for their transport. The safe carriage of dangerous goods is important to shippers and transport companies and not least for every party involved in the chain of lithium ion battery transport.

The following notes, based on recommendations of EPTA and ZVEI, have been produced to provide initial practical guidance to the regulations for the carriage of lithium ion batteries especially for cordless power tools and gardening equipment.

These provisions must be fulfilled by the shipper for every commercial shipment of lithium ion batteries.

Especially the energy content and diverse conditions classify which dangerous goods regulations must be taken into account for the transport of lithium ion batteries. Due to exemption regulations, simplified requirements apply for instance to lithium ion batteries with an energy content up to maximum 100 Wh. Whereas lithium ion batteries with an energy content of more than 100 Wh are always to be treated as fully regulated Class 9 Dangerous Goods.

This guidance refers to the commercial transport by

- road/rail (ADR/RID)
- sea freight (IMDG Code)
- air freight (IATA)

Lithium ion batteries are classified as follows:

- UN 3480 Lithium ion batteries
- UN 3481 Lithium ion batteries contained in equipment
- UN 3481 Lithium ion batteries packed with equipment

In individual cases, a dangerous goods expert should be consulted.

Local authorities are responsible for the interpretation and implementation of the relevant regulations.

They can, at their discretion, make decisions differing from this guideline. Therefore, despite the greatest possible care during the revision and composition, no liability can be assumed for the content and the completeness of this document.

Legend

ADR	Accord européen relatif au transport international des marchandises Dangereuses par Route, (European Agreement concerning the International Carriage of Dangerous Goods by Road)
RID	Règlement concernant le transport International ferroviaire de marchandises Dangereuses (Regulations concerning the International Carriage of Dangerous Goods by Rail)
IMDG Code	International Maritime Code for Dangerous Goods
IATA	International Air Transport Association
PI	Packing Instruction
SP	Special Provision
n/a	not applicable



Note: Please take note account information on page 3 the additional infpormation on page 12. *Energy [Wh] = Capacity [Ah] x Voltage [V] (see name plate)

Transportation Mode	Road / Rail (ADR/RID), Sea Freight (IMDG Code)≤ 100 Wh (per battery)						
	Batteries (without equipment)	Batteries packed with equipment ¹ (at least one battery which is not attached to tool)	Batteries contained in equipment ¹ (contained/plugged-in in tool)				
Packing Instructions	ADR/RID SP 188, IMDG Code SP 188						
Max. Quantity	n/a						
Weight Limit	30 kg gross weight per package	n/a					
Packaging	Batteries must be placed in inner packag batteries must be protected so as to prev Strong outer packaging, e.g. fibreboard damaged or shifted)	strong outer packaging protection against unintentional activation short circuit protection					
Marking	Lithium battery mark	Lithium battery mark	not applicable if no more than two batteries are installed and if there are no more than two packages in the consignment				
Sea Freight Container-Marking	none	·	·				
Fransport Document	n/a		n/a				
Miscellaneous	Personnel shall be trained commensurate with responsibilities						

¹ Equipment means apparatus for which the lithium cells or batteries will provide electrical power for its operation.

	> 100 Wh (per battery)						
	Batteries (without equipment)	Batteries packed with equipment (at least one battery which is not attached to tool)	Batteries contained in equipment (contained/plugged-in in tool)				
Packing Instructions	P903, LP903						
Max. Quantity	333 kg per transport unit (truck incl. traile	er) for exemptions according to ADR 1.1.3	3.6				
Weight Limit	n/a						
Packaging	Batteries must be placed in inner packagin batteries must be protected to prevent sho Batteries must be secured against moveme UN approved packaging (Packing Group II	strong outer packaging protection against unintentional activation short circuit protection					
Marking	ADR: UN 3480 IMDG Code: UN 3480 LITHIUM ION BATTERIES	ADR: UN 3481 IMDG Code: UN 3481 LITHIUM ION BATTERIES PACK UN 3481 LITHIUM ION BATTERIES CON					
Sea Freight Container-Marking	CONTAINER-PLACARDS (min. 25x25 cm)						
Transport Document	UN 3480, LITHIUM ION BATTERIES, 9, (E) Number of packages and packaging type (e.g. 1 Fibreboard box) Battery weight (e.g. xx kg), Shipper & consignee's address Sea freight (IMDG Code): (language English) IMO-DANGEROUS GOODS DECLARATION (SOLAS 74, KAP. VII, REG 5, MARPOL 73/79, ANNEX III REG. 4 OF IMDG-CODE)	UN 3481, LITHIUM ION BATTERIES PACKED WITH EQUIPMENT, 9, (E) Number of packages and packaging type (e.g. 1 Fibreboard box) Battery weight (e.g. xx kg) Shipper & consignee's address Sea freight (IMDG Code): (language English) IMO-DANGEROUS GOODS DECLARATION (SOLAS 74, KAP. VII, REG 5, MARPOL 73/79, ANNEX III	UN 3481, LITHIUM ION BATTERIES CONTAINED IN EQUIPMENT, 9, (E) Number of packages and packaging type (e.g. 1 Fibreboard box) Battery weight (e.g. xx kg) Shipper & consignee's address Sea freight (IMDG Code): (language English) IMO-DANGEROUS GOODS DECLARATION (SOLAS 74, KAP. VII, REG 5, MARPOL 73/79, ANNEX III				

Packing IAT Packing IAT Packing IAT Max. Quantity no Weight Limit PA Packaging Ba Cackaging Ba Eq Str Warking UN	Atteries Atteri	nent) in the outer packaging	Batteries packed with equipment ² (at least one battery which is not attached to tool) IATA PI 966 Section II As required for operation, plus 2 for replacement PAX/CAO: 5 kg net battery batteries must be protected to	to prevent short circuits
Packing IAT Packing IAT Packing IAT Max. Quantity no Weight Limit PA Packaging Ba Cackaging Ba Eq Str Warking UN	AVX: forbidden AO: 10 kg net battery weight per package atteries must be placed in inner packaging that nly for batteries or batteries packed with equipr atteries must be secured against movement with quipment containing batteries must be secured a rong outer packaging (fibreboard box) N 3480,	2 batteries per package, 1 package per consignment 1 package per overpack PAX: forbidden CAO: n/a completely encloses the battery, nent) in the outer packaging	equipment ² (at least one battery which is not attached to tool) IATA PI 966 Section II As required for operation, plus 2 for replacement PAX/CAO: 5 kg net battery batteries must be protected to	equipment ² (contained/plugged-in in tool) IATA PI 967 Section II n/a weight per package to prevent short circuits
IAI Nax. Quantity no Neight Limit PA CA Packaging Ba Cor Ba Eq Str Marking UN	one (more than 2 batteries per package) AX: forbidden AO: 10 kg net battery weight per package atteries must be placed in inner packaging that nly for batteries or batteries packed with equipr atteries must be secured against movement with quipment containing batteries must be secured a rong outer packaging (fibreboard box) N 3480,	2 batteries per package, 1 package per consignment 1 package per overpack PAX: forbidden CAO: n/a completely encloses the battery, nent) in the outer packaging	As required for operation, plus 2 for replacement PAX/CAO: 5 kg net battery batteries must be protected t	IATA PI 967 Section II n/a weight per package to prevent short circuits
IAI Nax. Quantity no Neight Limit PA CA Packaging Ba Cor Ba Eq Str Marking UN	one (more than 2 batteries per package) AX: forbidden AO: 10 kg net battery weight per package atteries must be placed in inner packaging that nly for batteries or batteries packed with equipr atteries must be secured against movement with quipment containing batteries must be secured a rong outer packaging (fibreboard box) N 3480,	2 batteries per package, 1 package per consignment 1 package per overpack PAX: forbidden CAO: n/a completely encloses the battery, nent) in the outer packaging	As required for operation, plus 2 for replacement PAX/CAO: 5 kg net battery batteries must be protected t	n/a weight per package to prevent short circuits Up to 2 batteries per
Neight Limit PA CA Packaging Ba (or Ba Eq Str Marking UN	XX: forbidden AO: 10 kg net battery weight per package atteries must be placed in inner packaging that nly for batteries or batteries packed with equipr atteries must be secured against movement with quipment containing batteries must be secured a rong outer packaging (fibreboard box) N 3480,	1 package per consignment 1 package per overpack PAX: forbidden CAO: n/a completely encloses the battery, nent) in the outer packaging	operation, plus 2 for replacement PAX/CAO: 5 kg net battery batteries must be protected	weight per package to prevent short circuits Up to 2 batteries per
Packaging Ba (or Ba Eq Str Marking UN	AO: 10 kg net battery weight per package atteries must be placed in inner packaging that nly for batteries or batteries packed with equipr atteries must be secured against movement with quipment containing batteries must be secured a rong outer packaging (fibreboard box) N 3480,	CAO: n/a completely encloses the battery, nent) in the outer packaging	batteries must be protected	to prevent short circuits
(or Ba Eq Str Marking UN	nly for batteries or batteries packed with equipr atteries must be secured against movement with quipment containing batteries must be secured a rong outer packaging (fibreboard box) N 3480,	nent) in the outer packaging	·	Up to 2 batteries per
ba qty Sh ad	Attery weight (e.g. net y xx kg) hipper's / Consignee's Idress UN 3480 Phone.	CARGO AIRCRAFT ONLY PORDOEN IN PASSENGER AIRCRAFT CONDUCTION OF A SERVICE AIRCRAFT UN 3480 Phone.	UN 3481 Phone.	handling label required More than 2 batteries per package: battery handling label required UN 3481 Phone.
Document UN //IB,	hipper's Declaration for Dangerous Goods: N 3480 Lithium ion batteries, 9, Fibreboard box(es) x kg // 965 // i, see Example 1, elete the "PASSENGER AND CARGO AIRCRAFT" ox	n/a	n/a	n/a
he Air Waybill "D	the "Handling Information" box: Dangerous Goods as per Shipper's Declaration AO"	In the "Nature and Quantity of Goods" box: "Lithium ion batteries in compliance with section II of PI 965 CAO", see Example 2	In the "Nature and Quantity of Goods" box: "Lithium ion batteries in compliance with section II of PI 966"	Only if more than 2 batteries per package, the "Nature and Quan- tity of Goods" box: "Lithium ion batteries compliance with sectio II of PI 967"
rec	fficial IATA-Training by authorized trainer quired, If not available, please contact IATA	Batteries <2.7 Wh: Max. Quantity 2.5 kg		
	ithorized expert	Adequate instruction commens	urate with responsibilities	
	ate of charge (SoC) must not exceed 30%	A102 A105 A204 A204 A224	A224 A002	
	pecial Provisions: A88, A99, A154, A164, A181, A eclaration Lithium Batteries PI 965 Section IB		A334, A802 vill Lithium Batteries PI 965 S	

NATURE AND QUANTITY OF DANGEROUS GOODS UN Number or Identification Number, proper shipping name, Class or Division (subsidiary hazard), Packing Group (If required), and all other required information.

UN 1993, Flammable liquid, n.o.s. (Ethanol and toluene mixture), Radioactive Material, excepted package – limited quantity of material, Class 3, II // 1 plastic drum (1H2) x 250 mL // 353 // A130

² Equipment means apparatus for which the lithium cells or batteries will provide electrical power for its operation.

	Dangerous Goods Identif	ication				
UN or ID No.	Proper Shipping Name	Class or Division (Subsidiary Hazard)	Packing Group	Quantity and type of packing		Autho
UN 3480	Lithium ion batteries	9		1 Fibreboard box x 5.5 kg	965	IΒ

Transportation Mode	Airfreight (IATA)								
	> 100 Wh (per battery)								
	Batteries (without equipment)	Batteries packed with equipment (at least one battery which is not attached to tool)	Batteries contained in equipment (contained/plugged-in in tool)						
Packing Instruction	IATA PI 965 Section IA	IATA PI 966 Section I	IATA PI 967 Section I						
Max. Quantity	n/a	As required for operation, plus 2 for replacement	n/a						
Weight Limit	PAX: forbidden CAO: 35 kg net battery weight per package	PAX: 5 kg net battery weight per package CAO: 35 kg net battery weight per packag							
Packaging	Batteries must be placed in inner packagings that completely enclose the battery, batteries must be protected to prevent short circuits UN approved packaging (Packing Group II: e.g. UN 4G/Y30/)	Batteries must be placed in inner packagings that completely enclose the battery, batteries must be protected so as to prevent short circuits UN approved packaging (Packing Group II: e.g. UN 4G/Y30/)	Equipment containing batteries must be secured and packed to prevent accidental operation during transport Batteries must be protected so as to prevent short circuits. This includes protection against contact with conductive materials within the same packaging. Strong outer packaging (e.g. cardboard box) UN approved packaging not required (SP A48)						
Marking	UN 3480, Lithium ion batteries Net weight (NET QTY) Shipper's/Consignee's address	UN 3481, Lithium ion batteries packed with equipment Net weight (NET QTY) Shipper's/Consignee's address	UN 3481, Lithium ion batteries contained in equipment Net weight (NET QTY) Shipper's/Consignee's address						
Transport Document	Shipper's Declaration for Dangerous Goods: UN 3480 Lithium ion batteries, 9 // 965, delete the "PASSENGER AND CARGO AIRCRAFT" box	Shipper's Declaration for Dangerous Goods: UN 3481 Lithium ion batteries packed with equipment, 9 // 966	Shipper's Declaration for Dangerous Goods: UN 3481 Lithium ion batteries contained in equipment, 9 // 967						
Information on the Air Waybill	In the "Handling Information" box: "Dangerous Goods as per Shipper's Declaration CAO"	In the "Handling Information" box: "Dangerous Goods as per Shipper's Declaration" , see Example 3							
(AWB)	When a shipment contains both dangerous goods shall be added in the "Handling Information" box		packages containing dangerous goods						
	Official IATA-Training required. If not available, pl	ease contact IATA authorized expert.							
Wiscellaneous	State of charge (SoC) must not exceed 30%								
	Special Provisions: A88, A99, A154, A164, A181, J	A183, A185, A201, A206, A331, A334, A80	2						

(power tools) together with 20 packages with non-dangerous goods (such as conventional, corded power tools).

	Airport of Destination Requested Flight/Date Amount of Insurance INSURANCE - If carrier offers insurance, and such insurance is requested in accordance with the conditions thereof, indicate are to be insured in figures.						tions thereof, indicate amount							
Handlin	g Information													
5 6	Packages	Da	ngerous (Goods as	s pe	er at	tache	d S	hip	per's	Dec	lara	tion	SCI
No. of Pieces RCP	Gross	kg	Rate Class	Charge	able	Rate	1	П				Т		Quantity of Goods
RCP	Weight	в	Commodity Item No.	Weig	ght	1.1	Charge	e		Total			(incl. Dimen:	sions or Volume)
0.5		ΠI		1				-11					D	and the design of the second sec
25		111											PO	wer tools
					-			_	-			_		

	Prototypes	Prototypes					
Transportation Mode	Road / Rail / Sea Freight	Airfreight					
	Prototypes: Lithium batteries without testing according to UN Test 38.3; Lithium batteries; Lithium batteries packed with or contained in equipment Only for transport of • small production series of max. 100 batteries (IATA: annual production) • prototypes for testing reasons only						
Special Provision, Packing Instruction	ADR/RID/IMDG Code: SP 310, P910	IATA SP A88, PI 910: Approval required from the Competent Authority of the state of origin Note: to/across/via USA additional approval required from					
Max. Quantity	See above	US Authority (DOT) as defined in approval					
Gewichtsbegrenzung	n/a	as defined in approval					
Packaging	 UN approved packaging: e.g. fibreboard box (Packing Group II: e.g. UN 4G/Y30/) Each battery shall be individually packed in an inner packaging, e.g. in a plastic bag Non-combustible, non-conductive thermal insulation material, e.g. Vermiculite Must be secured against movement within the outer packaging 	as defined in approval					
Marking	ADR/RID: UN 3480 IMDG Code: UN 3480 LITHIUM ION BATTERIES (100 mm x 100 mm)	as defined in approval					
Transport Document	Shipper's & consignee's address UN 3480 LITHIUM ION BATTERIES, 9, (E) Number of packages and packaging type (e.g. 1 fibreboard box) Battery weight (e.g. xx kg) "CARRIAGE IN ACCORDANCE WITH SPECIAL PROVISION 310" IMDG Code: IMO-DANGEROUS GOODS DECLARATION (SOLAS 74, KAP. VII, REG 5, MARPOL 73/79, ANNEX III REG. 4 OF IMDG-CODE	as defined in approval					
Miscellaneous	Personnel shall be trained commensurate with responsibilities	as defined in approval					

Thermal insulation material, e.g. Vermiculite



Tennen estadour Adult	Damaged or Defective Batteries Road / Rail / Sea							
Transportation Mode								
Special Provision, Packing Instruction	SP 376, P908	SP 376, P911						
Criteria for "Damaged or Defective"	 "Non-critical" (no possible danger during transport) Such Batteries that they do not conform to the tested type according to the applicable provisions of the UN Manual of Tests and Criteria, 38.3 This includes Batteries identified as being defective for safety reasons; Batteries that have leaked or vented; Batteries that cannot be diagnosed prior to carriage; or Batteries that have sustained physical or mechanical damage The following provisions (below) apply to batteries not liable to rapidly disassemble, dangerously react, produce a flame or a dangerous evolution of heat or a dangerous emission of toxic, corrosive or flammable gases or vapours. 	dangerous emission of toxic, corrosive or flammable gases or vapours Note: In order to assess the type of battery, its previous use and misuse shall be taken into account Transport only with approval from the Competent Authority authenizatiopn						
Max. Quantity	n/a							
Weight Limit	A battery with a net mass of more than 30 kg shall be limited	l to one battery per outer packaging						
Packaging	 Each damaged or defective battery or equipment containing such batteries must be packed separately in leak proof inner packaging to prevent release of electrolyte UN approved packaging required for all battery types (Packing Group II), e.g. fibreboard box Must be secured against movement within the package Sealed packagings shall be fitted with a venting device Must be packed with non-combustible and non-conductive thermal insulation material, material class A1 or A2 (non-combustible, e.g. rockwool, glass wool, foamglass, Vermiculite) Absorbing material to absorb leaking electrolyte from leaking batteries Batteries shall be protected against short circuit 	 The packaging shall be capable of meeting certain performance requirements in case of rapid disassembly, dangerous reaction, production of a flame or a dangerous evolution of heat or a dangerous emission of toxic, corrosive or flammable gases or vapours of the cells or batteries, as specified in P911. The additional packaging performance requirements shall be verified by a test as specified by the competent authority A verification report shall be available on request as specified in P911. Cells or batteries shall be protected against short circuit. Alternative packing and/or carriage conditions may be authorized by the competent authority (in Germany: Federal Institute for Materials Research and Testing, BAM); detailed requirements as stated in the authorization. 						
Marking	UN 3480 DAMAGED / DEFECTIVE LITHIUM ION BATTERIES UN 3481 DAMAGED / DEFECTIVE LITHIUM ION BATTERIES CONTAINED IN EQUIPMENT	UN 3480 DAMAGED / DEFECTIVE LITHIUM ION BATTERIES UN 3481 DAMAGED / DEFECTIVE LITHIUM ION BATTERIES CONTAINED IN EQUIPMENT						
Transport Document	Shipper's & consignee's address UN 3480 LITHIUM ION BATTERIES, 9, (E) Number of packages and packaging type (e.g. 1 Aluminium box) Battery weight (e.g. xx kg) Transport in accordance with special provision 376	Shipper's & consignee's address UN 3480 LITHIUM ION BATTERIES, 9, (E) Number of packages and packaging type (e.g. 1 Aluminium box) Battery weight (e.g. xx kg) Transport in accordance with special provision 376						
	Iransport in accordance with special provision 576							

Air Transport of damaged or defective batteries

Batteries, that have been identified as defective for safety reasons by the manufacturer, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit, are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons) (IATA DGR SP A154).

Transportation Mode

Batteries for Disposal & Recycling

Road / Rail / Sea

	≤ 100 Wh (per battery) > 100 Wh (per battery)						
Special Provision, Packing Instruction	SP 377, P909						
Max. Quantity	n/a						
Weight Limit	30 kg gross weight per package n/a						
Packaging	For batteries >100 Wh UN-approved packaging required (Packing Group II) For batteries ≤ 100 Wh and for batteries contained in equipment, UN-approved packaging is not required. Strong outer packagings constructed of suitable material, and of adequate strength and design in relation to the packaging capacity and its intended use. Batteries shall be packed to prevent short circuits and dangerous evolution of heat Protection against short-circuits and dangerous evolution of heat. This can be achieved by: • individual protection of the battery terminal • inner packaging to prevent contact between batteries • batteries with recessed terminals designed to protect against short-circuits or • the use of non-conductive and non-combustible cushioning material to fill empty space between the batteries in the package Batteries shall be secured within the outer packaging to prevent excessive movement during carriage (e.g. by using a non- conductive and non-combustible cushioning material or through the use of a tightly closed plastic bag)						
Marking	UN 3480 LITHIUM BATTERIES FOR DISPOSAL or LITHIUM BATTERIES FOR RCYCLING						
Transport Document	Shipper's & consignee's address UN 3480, WASTE LITHIUM ION BATTERIES, 9, (E) Number of packages and packaging type (e.g. 1 Fibreboard box (4G)) Battery weight (e.g. xx kg)						
Miscellaneous	Personnel shall be trained commensurate with responsibilities	5					

Damaged / defective batteries

Batteries identified as being damaged or defective shall be carried in accordance with SP 376, see page 8.

Air transport of waste batteries

Waste batteries and batteries being shipped for recycling or disposal are prohibited from air transport unless approved by the appropriate national authority of the State of Origin and the State of the Operator (IATA DGR SP A183).

Batteries for Disposal & Recycling Alternatively, lithium batteries for disposal and recycling can also be carried (like unused lithium batteries) under ADR SP 230 and SP 188, as appropriate, or – up to the intermediate processing facility – under ADR SP 636).

Additional Information

Dangerous Goods Safety Advisor (DGSA)

Each undertaking, the activities of which include the carriage, or the related packing, loading or unloading, of dangerous goods by road shall appoint one or more safety advisers for the carriage of dangerous goods.

These requirements do not apply to undertakings the activities of which concern quantities in each transport unit smaller than those referred to in ADR 1.1.3.6 (see below). (ADR 1.8.3)

UN-Test 38.3 as Precondition for Transport

Only those batteries that fulfil the requirements of "UN Manual of Tests and Criteria, chapter 38.3" are allowed for transportation. If there should arise any doubts or questions, the manufacturer should be contacted.

For transport of prototypes (without UN test 38.3) and defective batteries, specific instructions have to be applied, see pages 9 and 10. (ADR 2.2.9.1.7.(a), SP 230, SP 188)

Test summary

Manufacturers and subsequent distributors of cells or batteries shall make available the test summary as specified below. (ADR 2.2.9.1.7)

Transition rule: Lithium cells and batteries not meeting this requirement may continue to be carried until 31 December 2019. (ADR 1.6.1.47)

- (a) Name of cell, battery, or product manufacturer, as applicable;
- (b) Cell, battery, or product manufacturer's contact information to include address, phone number, email address and website for more information;
- (c) Name of the test laboratory to include address, phone number, email address and website for more information;
- (d) A unique test report identification number;
- (e) Date of test report;
- (f) Description of cell or battery to include at a minimum:
 - (i) Lithium ion or lithium metal cell or battery;(ii) Mass;
 - (iii) Watt-hour rating, or lithium content;
 - (iv) Physical description of the cell/battery; and(v) Model numbers.
- (g) List of tests conducted and results (i.e., pass/fail);
- (h) Reference to assembled battery testing requirements, if applicable (i.e. 38.3 .3 (f) and 38.3.3 (g));
- Reference to the revised edition of the Manual of Tests and Criteria used and to amendments thereto, if any; and
- j) Signature with name and title of signatory as an indication of the validity of information provided.

(UN Manual of Tests and Criteria 38.3.5)

Quality Management Programme

The requirements for quality managements programmes need to be respected by cell and battery manufacturers as well as those who modify batteries. Please refer to the original literature for details. (ADR 2.2.9.1.7. (e), SP 230, SP 188)

What should be considered by customers for return shipments?

The consigner, carrier and – if applicable – also a third party on whose behalf the consigner is acting are responsible for the proper shipment.

As a matter of principle, for returns or reshipment the same rules apply like mentioned above. If possible, the original packaging should be used for transport. If the original packaging, the marking or even the necessary transport documents are not available for the shipper, they must be provided by the manufacturer or supplier or forwarder to the shipper or the carrier prior transportation.

Exemptions from Dangerous Goods Transport Regulations (ADR)

The provisions of ADR do not apply to companies carrying goods as ancillary process to their main business activity (e.g. deliveries or returns from building sites or demonstration purposes) ("Craftsman Regulation" ADR 1.1.3.1c).

ADR rules do not apply to private individuals where the batteries are packaged for retail sale and if the transport is intended for their personal use (ADR 1.1.3.1a).

Exemptions related to quantities carried per transport unit

For lithium ion batteries or devices with lithium ion batteries >100 Wh a weight limit of 333 kg (battery weight) applies in connection with reduced requirements on transport devices (lorry equipment, driver's qualification) (ADR 1.1.3.6).

Cargo securing

Where applicable, cargo shall be secured by suitable means (ADR 7.5.7).

Cells and single cell batteries

This document refers only to batteries comprising two or more cells. Different exemption limits exist for cells and single cell batteries.

Annex

Class 9 hazard Miscellaneous dangerous substances and articles (ADR 5.2.2.2.2) Label No 9A

Full-scale template

UN 3480 Lithium Ion Batteries (without equipment)

 \succ please cut here



Class 9 hazard Miscellaneous dangerous substances and articles (ADR 5.2.2.2.2) Label No 9A

Full-scale template

UN 3481 Lithium Ion Batteries packed with equipment or contained in equipment



Lithium Battery Label (ADR 5.2.1.9.2, IATA DGR 7.1.5.5, Fig. 7.1.C)

Full-scale template

UN 3480 Lithium Ion Batteries (without equipment)

- cut out outside the red hatching
- insert telephone number

 $\succ \ \ \, {\rm please \ cut \ here}$



Lithium Battery Label (ADR 5.2.1.9.2, IATA DGR 7.1.5.5, Fig. 7.1.C)

Full-scale template

UN 3481 Lithium Ion Batteries packed with or contained in equipment

- cut out outside the red hatching
- insert telephone number





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